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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Arnold KELLER

Serial No.: 10/619,179

Filing Date: July 15, 2003

For: MULTI-PART CERVICAL
ENDOPROSTHESIS WITH INSERTION
INSTRUMENT (as amended)

Examiner: Anuradha Ramana

Group Art Unit: 3732

STATUS INQUIRY

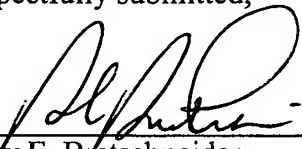
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant respectfully inquires as to the status of the Assignment document relating to the above-identified application. The Assignment to Cervitech, Inc. was submitted for recordation on January 26, 2004. To date, Applicant has not received a Notice of Recordation of Assignment from the U.S. Patent and Trademark Office. Copies of the Assignment, Recordation Form Cover Sheet and date-stamped postcard are enclosed for your convenience.

Respectfully submitted,

Dated: April 28, 2006

By: 
Barry E. Bretschneider
Registration No. 28,055

Morrison & Foerster LLP
1650 Tysons Boulevard – Suite 300
McLean, VA 22102
Telephone: (703) 760-7743
Facsimile: (703) 760-7777

ATTORNEY DOCKET NO. 4647-2025100 MAILING DATE: 1/26/04
SERIAL NO.: 10619179 ATTY/Secy.: BERKEL
INVENTORS: Arnold Keller FILING DATE: 1/15/03
TITLE: Arrangement of A cervical prosthesis and
insertive instrument

Papers enclosed herewith:

- | | |
|---|--|
| <input type="checkbox"/> Transmittal Form | <input type="checkbox"/> Amendment under |
| <input checked="" type="checkbox"/> Fee Transmittal | <input type="checkbox"/> Issue Fee (in duplicate) |
| <input type="checkbox"/> Specification including claims and abstract | <input type="checkbox"/> Petition under |
| <input type="checkbox"/> Drawings | <input type="checkbox"/> Request for Refund |
| <input checked="" type="checkbox"/> Declaration and Power of Attorney | <input type="checkbox"/> Sequence Listing (PAPER) |
| <input type="checkbox"/> Priority Document | <input type="checkbox"/> Computer Readable Format (DISK) |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Status Inquiry |
| <input type="checkbox"/> PTO Form 1449 including references | <input type="checkbox"/> Request Certificate of Correction |
| <input checked="" type="checkbox"/> Assignment Recordation Form | <input type="checkbox"/> Request for Corrected Filing Receipt |
| <input checked="" type="checkbox"/> Assignment | <input type="checkbox"/> Request for Corrected Assignment |
| <input checked="" type="checkbox"/> Notice to File Missing Parts | <input type="checkbox"/> Extension of Time month |
| <input type="checkbox"/> Application Data Sheet | <input checked="" type="checkbox"/> Other <u>English Translation</u> |

RECEIVED BY THE UNITED STATES PATENT AND TRADEMARK OFFICE

va-53511

DOCKETED

BEST AVAILABLE COPY

RECORDATION FORM COVER SHEET
PATENTS ONLYPatent and Trademark Office
Docket No. 2464720005100

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Arnold KELLERAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: January 16, 2004

2. Name and address of receiving party(ies):

Cervitech, Inc.
300 Roundhill Road
Rockaway, New Jersey 07866Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
10/619,179

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Barry E. Bretschneider
Morrison & Foerster LLP
1650 Tysons Boulevard
Suite 300
McLean, Virginia 22102

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. § 3.41): \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account, referencing
Attorney Docket 246472004400

8. Deposit account number: 03-1952The Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.21 that may be required by this paper, or to credit any overpayment to Deposit Account No. 03-1952.

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name: Barry E. Bretschneider
Registration No: 28,055

Signature

January 26, 2004
Date

Total number of pages comprising cover sheet, attachments and document: 2

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

MORRISON & FOERSTER LLP

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned hereby assign(s), transfer(s) and set(s) over to:

Cervitech, Inc.

300 Roundhill Road
Rockaway, New Jersey 07866

(hereinafter designated as the "ASSIGNEE"), its successors and assigns, the entire right, title, and interest for the United States in the invention, and all applications for patent and any Letters Patent which may be granted therefor, including said application, and all United States Letters Patent which may be granted thereof, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of said Letters Patent when granted to be held by said ASSIGNEE, its successors, assigns or their legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been and enjoyed by Assignor(s) if this assignment had not been made, the application being known by the title:

ARRANGEMENT OF A CERVICAL PROSTHESIS AND INSERTION INSTRUMENT

Attorney Docket No.: 24647-20051.00

for which the undersigned has/have executed an application for patent in the United States of America on the same day herewith. Where this instrument is not filed concurrently with the application, the following identifying information may be added after execution:

Serial No.: 10/619,179

Filing Date: July 15, 2003

1. The undersigned hereby agree(s) to sign and execute any further documents and instruments which may be necessary, lawful and proper in the prosecution of said above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal, reexamination or reissue application or in any amendments, extension of interference proceedings, or otherwise to secure the title thereto to the ASSIGNEE.

2. The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property of similar agreements.

3. The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the ASSIGNEE.

4. The undersigned hereby authorize(s) and request(s) the Commissioner of Patents in the United States to issue any and all Letters Patent resulting from said application or any division or divisions or continuing applications thereof to the said ASSIGNEE.

5. The undersigned hereby grant(s) to the firm of Morrison & Foerster LLP the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

IN WITNESS WHEREBY, executed by the undersigned on the date(s) opposite the undersigned name(s).

04 - 01 - 16

Date



Typed Name: Arnold KELLER

Date

Typed Name:

Date

Typed Name:

Date

Typed Name:

BEST AVAILABLE COPY